



1. Introduction

This guide is designed to assist staff and students to conduct and manage online risk assessments using the C.H.A.R.M system. University risk assessments are to be entered on C.H.A.R.M via the WHS Risk Register. A risk assessment is used to systematically identify all of the risks associated with a task, activity or process. Appropriate controls and responsibilities for implementing those controls are identified within the risk assessment which must be made available to all stakeholders involved to ensure the information is effectively and appropriately communicated.

2. Accessing the C.H.A.R.M Risk Register

WHS Risk Register
View and manage WHS Risk Assessments

Log into **C.H.A.R.M** via **Staff Portal / Student OASIS** (*Campus Life and Guild tab*)
Click on the **C.H.A.R.M** icon and login using Curtin ID and password. Click the **WHS Risk Register** icon

WHS Risk Register


Back New Filter Refresh Delegation Print Export Help

WHS Risk Register


Risk Register | Awaiting My Approval | My Draft Risks | My Peer Reviews
Affected Area: - All -
Show 20 Risks per page

Ref.	Risk Assessment	Risk Owner	Business Unit	Affected Area	Risk Assessment		Last Review	Next Review	Commands
					Current	Residual			
23	Test	PAN Support (Curtin University)	Curtin University		High	Medium	26/07/2017	26/08/2017	
19	Test	PAN Support (Curtin University)			Medium	Low	25/07/2017	26/07/2017	
18	Test	PAN Support (Curtin University)	Corporate Services		Medium	Low	25/07/2017	26/07/2017	


The **WHS Risk Register** contains a list of all of the approved Risk Assessments (RA) across the University.



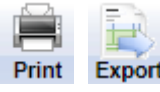
New
Create a **new** risk assessment




Filter
Filter the list of risks to search




Delegation
Delegate management of risk approvals if going on leave




Print or Export
Print or Export risks to download




View the risk assessment



View comments, peer reviews and **review history**











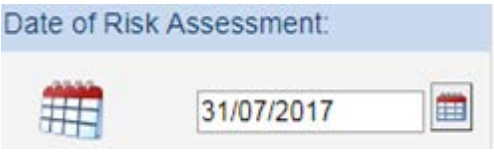
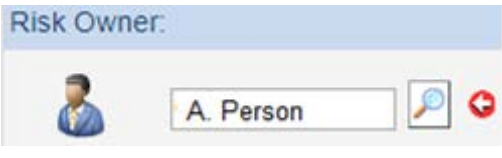

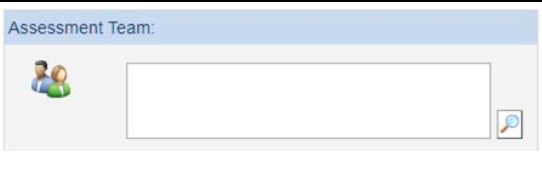
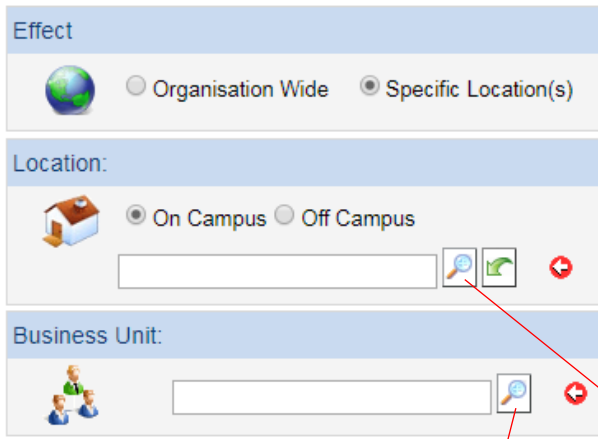


Archive the risk assessment



Clone to create a draft to edit

3. Conducting a Risk Assessment

<div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p>Risk Assessment Name:</p> <div style="display: flex; align-items: center;">  <input style="width: 150px; height: 20px;" type="text"/> <div style="margin-left: 10px;">   </div> </div> </div>	<p>From the WHS Risk Register, click new.</p> <p>Provide a name for the risk assessment. </p>
<div style="border: 1px solid #ccc; padding: 5px;"> <p>Describe task/use:</p> <div style="display: flex; align-items: center;">  <div style="flex-grow: 1; border: 1px solid #ccc; height: 40px;"></div> <div style="margin-left: 10px;">   </div> </div> </div>	<p>Describe the activity / process to be assessed.</p>

	<p>The Risk Approver will default to your direct line manager/ supervisor. Click the magnifying glass to search for a person and change the Approver if this is not correct. The Risk Approver selected is the Manager/ Supervisor responsible for your activity.</p>
	<p>Enter the date of the risk assessment.</p>
	<p>The Risk Owner will default to yourself</p> <p>Click the next  button</p>
	<p>Enter details of all people involved in the risk assessment.</p>
 <p>Effect <input type="radio"/> Organisation Wide <input checked="" type="radio"/> Specific Location(s)</p> <p>Location: <input checked="" type="radio"/> On Campus <input type="radio"/> Off Campus</p> <p>Business Unit:</p>	<p>Select “Specific Location(s)” and use the magnifying glass to search and select the specific building locations and departments/ areas the risk assessment is relevant to.</p> <p>For example: If you are conducting an activity in building 400, you will need to select, Bentley Campus and then Building 400.</p> <p>In the business unit section, click on the magnifying glass icon and use the down lists to filter down to filter down to the School, Department or Area that will be impacted by the risk.</p>
	<p>Enter the details of any relevant documents that assisted in the preparation of this risk assessment.</p> <p>Use the attachment section to browse your computer and upload documentation to support your risk assessment.</p> <p>Click next at the top of the screen to continue </p>

Find Business Unit

Enter the business unit name or select the business from the dropdown/text box:

-
-
-
-
-

Current Result
 Curtin University -> Office of the Provost -> Faculty of Health Sciences -> School of Public Health

Find Location

Enter the location name or select the location from the dropdown/text box:

-
-

Current Result
 Bentley Campus -> 400 Public Health

Assessing the Risk

Back
 New
 Previous
 Next
 Save
 Submit
 Help
 Risk Assessment

Identify		Assess		Control	
Step 1 Risk Factor and Risk Description	Step 2 Existing Control(s)	Step 3 Current Rating	Step 4 Proposed Control(s)	Step 5 Who is responsible?	Step 6 Residual Rating
Plant and Equipment Operating band saw to cut wood pieces for design sculpture. The operation of the band saw may result in an injury to the operator's hands and body parts caused by exposure to the moving saw blade. Long hair, loose clothing, and jewelry could become entangled in the moving parts of the equipment.	4 - Engineering Guarding in place on equipment.	Medium Matrix	6 - Personal Protective Equipment (PPE) Safety glasses to be purchased for the workshop and worn by operators at all times.	Supervisor TEST 29/09/2017	Low Matrix

Step 1. Select risk factors from the drop down list. Check the descriptors boxes that apply to the risk. Use the icon to add additional descriptors not listed. Enter specific risk information in the free text field. Use the icon to add more risk factors from the drop down list.

Step 2. Select hierarchy of controls from the drop down list and enter descriptions for the controls that are already in place to reduce the risk. If there are no existing controls, please select "No Controls" from the drop down list.

Step 3. Use the matrix to assess the level of risk with the current controls in place.

Step 4. Enter additional controls that need to be put in place to reduce the risk. If there are no proposed controls, please select "No Controls" from the drop down list.

Step 5. Click the magnifying glass to search and assign a responsible person and due date to complete the proposed controls in step 4.

Step 6. Estimate the level of risk that will be present after the proposed controls have been put in place.


Save Click the save button to save the risk assessment as a draft. The risk assessment will be available in your "My Draft Risks" tab on the WHS register. When the risk assessment is in draft you can invite a person to peer review your risk assessment prior to submitting it to your supervisor for approval.

Submit To submit risk assessment to your Supervisor (Risk Approver) for approval, click on the submit button. **Note:** You will not be able to edit or invite peer reviewers to view the risk assessment once it has been submitted for approval.


4. Peer Review

A Peer Reviewer can be invited to view a draft risk assessment and provide comments back to the Risk Owner prior to the risk assessment being submitted for approval to the Risk Owner.

Note: If a risk has been approved by the Risk Approver prior to Peer Reviewer comment, the risk will disappear from the Peer Reviewer’s peer reviewer tab.




Risk Register
Awaiting My Approval
My Draft Risks
My Peer Reviews

To invite a Peer Reviewer, from the WHS Risk Register, go to your “My Draft Risks” tab and click on the invite icon to search and assign a peer reviewer. 

Use the First Name: and Last Name: fields to search for the correct person. Search results will appear in the text box. Click the **Add>>** button to move the user to the assigned list and click OK. The peer reviewer will receive an email to review your risk whilst it is in a draft status before it has been submitted to the Risk Approver.

Notification Centre

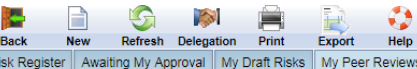
You have **1 WHS Risk** to Peer Review.



Risk Register
Awaiting My Approval
My Draft Risks
My Peer Reviews

To conduct a Peer Review, upon receipt of the Peer Review invite email, log into C.H.A.R.M via the Staff Portal. The WHS Risk will appear on the notification centre of your C.H.A.R.M Dashboard.




To access the Risk, click on the **WHS Risk Register** and then on the My Peer Reviews tab.





Risk Register
Awaiting My Approval
My Draft Risks
My Peer Reviews

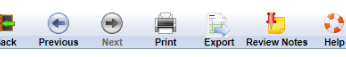
WHS Risk Register

Show 20 Risks per page

Ref.	Status	Risk Assessment	Risk Owner	Business Unit	Affected Area	Risk Assessment		Commands
						Current	Residual	
19	Peer Review	Test	PAN Support (Curtin University)		Organisation wide	Medium	Low	  


Click on the view risk  icon to view the risk assessment


Once on the risk assessment use the next  icon to navigate through the risk assessment to view.




Risk Assessment- Ref No: 28

Identify	Assess	Control			
<p>Step 1 Risk Factor and Risk Description</p> <p>Plant and Equipment Operating band saw to cut wood pieces for design sculpture. The operation of the band saw may result in an injury to the operator's hands and body parts caused by exposure to the moving saw blade. Long hair, loose clothing, and jewelry could become entangled in the moving parts of the equipment.</p> <p>Medical incident / emergency Lack of operator competency / training Lack of inappropriate guarding Unauthorised / unrestricted access Poorly maintained plant (e.g. unserviced, unregistered plant)</p>	<p>Step 2 Existing Control(s)</p> <p>4 - Engineering Guarding in place on equipment.</p> <p>5 - Administration All users supervised, trained and assessed as competent prior to using equipment. All operators to adhere to workshop standard prior to</p>	<p>Step 3 Current Rating</p> <p style="background-color: yellow; text-align: center; font-weight: bold;">Medium</p>	<p>Step 4 Proposed Control(s)</p> <p>6 - Personal Protective Equipment (PPE) Safety glasses to be purchased for the workshop and worn by operators at all times.</p>	<p>Step 5 Who is responsible?</p> <p>Supervisor TEST 29/09/2017</p>	<p>Step 5 Residual Rating</p> <p style="background-color: green; text-align: center; font-weight: bold;">Low</p>

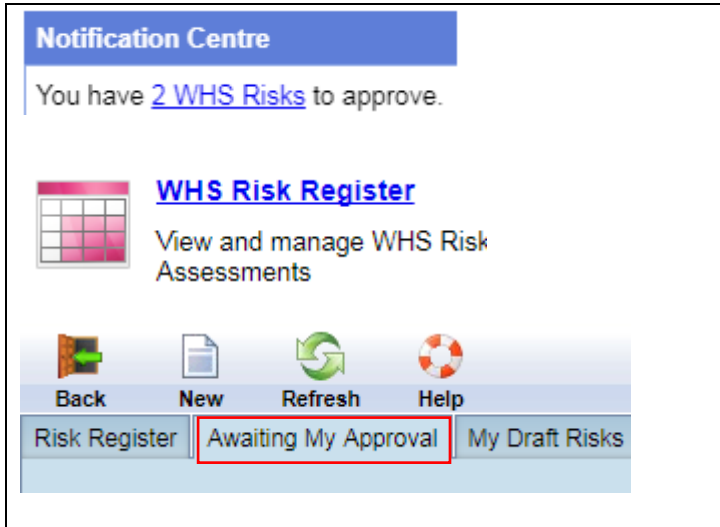
Click the Review Notes icon  **Review Notes** and leave your peer review comments and click submit

You will be returned to “My Peer Reviews” tab where the risk assessment will disappear. The Risk Owner will be able to see your comments on the review history 

 **Submit**

5. Risk Approver and Risk Approvals

Once a risk assessment has been submitted for approval, an email will be sent automatically to the Risk Approver. The Risk Approver is the Manager/Supervisor responsible for the approval of the risk assessment activity. The Risk Approver is responsible for ensuring the risk owner has adequately identified, assessed and controlled the hazards associated with the activity.



Notification Centre
You have [2 WHS Risks](#) to approve.

WHS Risk Register
View and manage WHS Risk Assessments

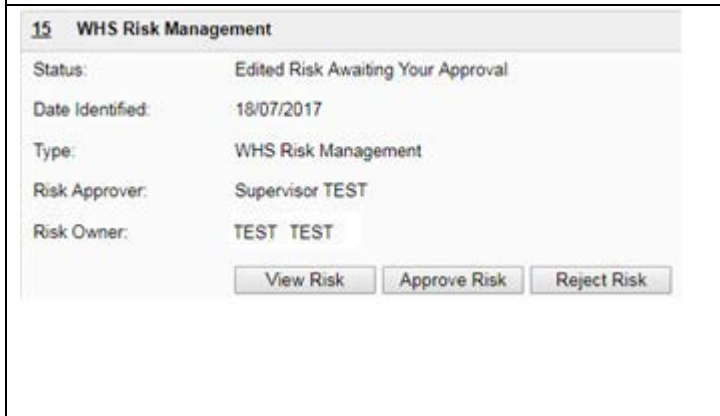
Back New Refresh Help

Risk Register **Awaiting My Approval** My Draft Risks

Upon receipt of the Risk Approval email, log into C.H.A.R.M via the Staff Portal.

The WHS Risk will appear on the notification centre of your C.H.A.R.M Dashboard.

To access the Risk, click on the WHS Register and then on the Awaiting my Approval tab.




15 WHS Risk Management


Status: Edited Risk Awaiting Your Approval
Date Identified: 18/07/2017
Type: WHS Risk Management
Risk Approver: Supervisor TEST
Risk Owner: TEST TEST

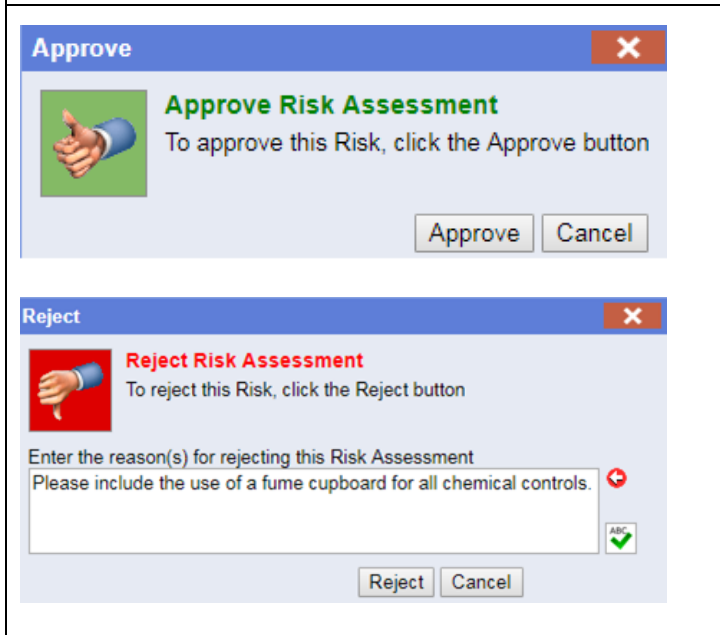
View Risk Approve Risk Reject Risk

Click **View Risk** to view the risk assessment awaiting approval.

Once on the risk assessment use to  **Next** button to navigate through the risk assessment to view.

Once you have viewed the risk assessment click “back”

Return to the “Awaiting my Approval” tab. 



Approve

Approve Risk Assessment
To approve this Risk, click the Approve button

Approve Cancel

Reject

Reject Risk Assessment
To reject this Risk, click the Reject button

Enter the reason(s) for rejecting this Risk Assessment
Please include the use of a fume cupboard for all chemical controls.

Reject Cancel

Approve or Reject the risk and provide comments back to the **Risk Owner**.

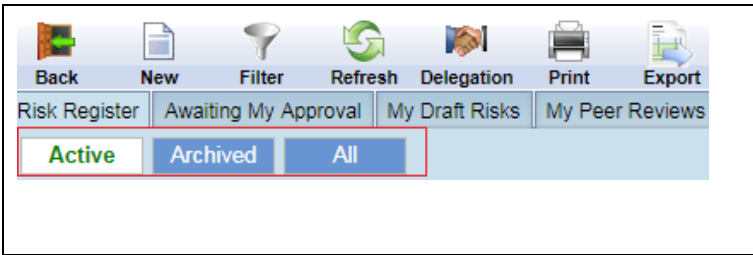
Approve risk: The risk will appear on the Risk Register.

Reject risk: The Risk Owner will be notified via email with your comments and the risk will return to a draft status. The Risk Owner will need to make the required changes and resubmit the risk assessment for approval.

Note: If you are not the appropriate Supervisor to approve the risk assessment. Please reject the risk and inform the Risk Owner in the comments of the appropriate Risk Approver. The risk owner will need edit the risk to assign the new risk Owner and submit it for approval.

6. Filtering and Generating Reports

Users are able to filter and generate excel and pdf reports based on the risks present on the WHS Risk Register.



From the **WHS Risk Register** click on the “Active” “Archived” or “All” tab to produce a list of all the relevant risks under that tab.

Click on the Filter button 





Use the filter fields to select how you would like to filter the risks and click apply.

You can filter by Risk Factor category, Risk Rating, Risk Owner, Business Unit, Location, Assessment team or text.



For example: If you wish to generate a report to see all risks in building 400, go to the Location section, search for Bentley Campus -> 400 Building-> click select and click the apply button to save the filter results.


When your results have been filtered, the screen will return to the WHS Risk Register with the filtered results.

To export the results to excel, click on the Export button  and follow your computer prompts to save the excel file.

To download or print the filtered risks in a pdf document, click on the Print icon  and follow your web browser prompts to print or download the pdf.

PrintRiskRegister.aspx 1 / 1



Risk Register Report

Date of Report: Thursday, 24 August 2017

Ref.	Type	Risk Description	Risk Owner	Business Unit	Current Rating	Residual Rating	Last Review	Next Review
23	*Multiple	Test	PAN Support (Curtin University)	Curtin University	High	Medium	26/07/2017	26/08/2017
18	*Multiple	Test	PAN Support (Curtin University)	Corporate Services	Medium	Low	25/07/2017	26/07/2017